



41

TECH CENTER
DEC 22 2003
1600/2900

Attorney Docket No. 976-11 PCT/US

In re Application of: J.C. Aguilar Rubido et al
Serial No.: 09/857,402
Confirmation No.: 3056
Filed: June 1, 2001
For: Preparations Containing Virus-Like Particles
As Immunopotentiators Administered
Through The Mucosa

I hereby certify this correspondence is being deposited
with the United States Postal Service as first class mail,
postpaid in an envelope, addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria,
VA 22313-1450
on December 12, 2003

Signature: Julie L. Watts

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment in the above-identified application.

- ☒ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	* 16	MINUS	** 23	= 0
INDEP.	* 3	MINUS	*** 3	= 0

SMALL ENTITY	
RATE	ADDL. FEE
x 9=	\$0.00
x 42=	\$
x 140=	\$
TOTAL	\$ 0.00

OTHER THAN A SMALL ENTITY	
RATE	ADDL. FEE
x 18=	\$
x 84=	\$
x 280=	\$
TOTAL	\$ 0.00

OR

OR

☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS

* If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.
 ** If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" in this space is less than 20, write "20" in this space.
 *** If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" in this space is less than 3, write "3" in this space. The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge my Deposit Account No. 08-2461 in the amount of \$_____. A duplicate copy of this sheet is attached.
- ☐ A check in the amount of \$_____ is attached.
- ☒ The Commissioner is hereby authorized to charge any fees or additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2461. A duplicate copy of this sheet is attached.
- ☒ Any filing fees under 37 C.F.R. 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, NY 11791
(516) 822-3550

Edna I. Gergel
Edna I. Gergel, Ph.D.
Registration No. 50,819



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	J.C. Aguilar Rubido, et al.	Examiner:	Shanon A. Foley
Serial No.:	09/857,402	Group Art Unit:	1648
Confirmation No.:	3056	Docket:	976-11 PCT/US/RCE
Filed:	June 1, 2001	Dated:	December 12, 2003
For:	PREPARATIONS CONTAINING VIRUS- LIKE PARTICLES AS IMMUNOPOTENTIATORS ADMINISTERED THROUGH THE MUCOSA		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

*I hereby certify this correspondence is being deposited
with the United States Postal Service as first class mail,
postpaid in an envelope, addressed to:
Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450 on December 12, 2003
Dated: 12/12/03*

AMENDMENT UNDER 37 C.F.R. §1.111

Sir:

This amendment is submitted in response to the Office Action dated August 13, 2003.
A petition for a one-month extension of time is filed herewith.

Entry of the following amendment is respectfully requested.

Amendments to the claims begin on page 2.

Remarks begin on page 5 of this paper.